

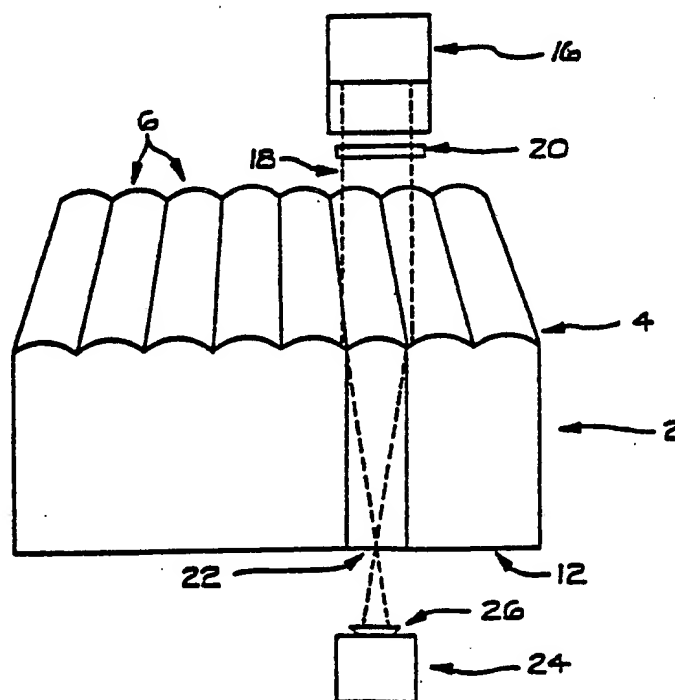
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US85/00006 <b>(22) International Filing Date:</b> 8 January 1985 (08.01.85) <b>(31) Priority Application Number:</b> 575,457 <b>(32) Priority Date:</b> 30 January 1984 (30.01.84) <b>(33) Priority Country:</b> US  <b>(71) Applicant:</b> ILLUMINATED DATA, INC. [US/US]; Post: Office Box 751, Albuquerque, NM 87103 (US). <b>(72) Inventor:</b> ERBERT, Virgil ; Star Route Box 89, Tijeras, NM 87059 (US).  <b>(74) Agents:</b> CHICKERING, Robert, B. et al.; Warren, Chickering & Grunewald, 166 Santa Clara Avenue, Oakland, CA 94610 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), DK, FR (European patent), GB (European patent), JP, KP, LU (European patent), NL (European patent), NO, SE (European patent), SU.  <b>Published</b> <i>With international search report.</i>

**(54) Title:** OPTICAL DATA STORAGE AND READOUT APPARATUS**(57) Abstract**

An optical apparatus for high density storage and readout of binary data (14), which is insensitive to wobbling or eccentric motion of the recording disk (8). Parallel light (18) from a laser (16) is focused onto one of numerous data lines (14) on a photosensitive surface (12) by an array (4) of cylindrical lenses; a cylindrical lens (20) at right angles to the cylindrical array (4) focuses the beam (18) in the direction of the data line (14), producing a point image (22). The lens array (4) and photosensitive surface (12) are on opposite sides of a transparent recording disk (8), so that there is no relative motion of each data line (14) and its corresponding lens, essentially eliminating the effects of eccentric or wobbling motion of the recording disk (8). A shuttered (26) photosensor (24) on the side of the recording disk opposite the laser (16) intercepts all of the light diverging from the point image (22) on the recording disk (8). Binary data is recorded on each data line (14) by pulsing the laser (16) for each 1 bit as the recording disk (8) is rotated, with the photosensor (24) shutter (26) closed. For readout the laser (16) is operated continuously at low power, the shutter (26) is opened, and the photosensor (24) output is monitored as the recording disk (8) is rotated.

Variations in the photosensor (24) output correspond to the data previously recorded. By means of slightly rotating the entire optical system about an axis parallel to the cylindrical lens array (4), numerous data lines (14) may be selected for recording or readout, for each lens of the cylindrical lens array (4).



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1 OPTICAL DATA STORAGE  
AND READOUT APPARATUS

5 TECHNICAL FIELD

10 The invention pertains to optical systems which allow high density recording of data on a data surface. Such systems have particular application in the recording of binary data constituting the input or output for computer systems, though the present invention is not so limited in its application.

BACKGROUND ART

15 In some computer operations, very large quantities of data must be very rapidly made available as input for the computer. Similarly, very large quantities of computational results may be rapidly generated as the output of the computer operations.

20 Depending upon the size of the computer memory and the nature of the computer operations, there may be a need to temporarily transfer the results of intermediate computer calculations to a temporary external data storage apparatus, and to subsequently transfer such results back into the computer as input data for subsequent calculations.

25 Such a data storage apparatus should be capable of achieving a high density of data storage, should allow rapid writing and readout of stored data, and must provide completely reliable data tracking -  
30 i.e., means for ensuring that particular items of stored data may be reliably located and read out as desired.

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1           In the case of such apparatus which involves  
a moving data recording surface, the need for high  
density of data storage commonly gives rise to a  
serious data tracking problem associated with  
5   eccentric or wobbling motion of the surface, or  
dimensional changes caused by temperature and humidity  
effects, which can easily cause misrecording or  
misreading of data. Also the maximum data rates for  
writing and reading are limited by the capabilities of  
10   the tracking and focusing mechanism to follow rapid  
deflections of the data track.

Since computer data is generally first  
recorded in binary form, such data may be recorded by  
using an optical system to focus a beam of light to an  
15   image on a photosensitive data surface, the two binary  
states being represented by an image recorded upon  
said surface, and by the absence of such an image.

British Patent No. 1235192 to Johnson  
et al. discloses a photographic apparatus for viewing  
20   still or motion pictures, which can also be used as a  
camera. A matrix of lenses is movable, together with  
(in fixed relation) a film recording individual images  
for each lens of the array, past an objective lens, so  
that successive lenses of the lens array may be  
25   brought into alignment with the optical axis of the  
objective lens. Individual images may be successively  
viewed or recorded, either by trans-illuminating a  
film already having such images, or illuminating an  
external object to be photographed. This photographic  
30   apparatus is designed for processing an array of  
ordinary photographic images, and is not suitable for  
processing a high density array of binary data.

As described in detail below, applicant's  
apparatus provides for much higher density binary data  
35   storage through use of an array of cylindrical lenses,  
and a cross cylindrical lens, focusing parallel  
light to point images falling on an array of data  
lines formed by the cylindrical lens array. Through

1 use of a rotational optical data tracking system,  
numerous data lines may be recorded and later read  
under each lens of the cylindrical lens array.

United States Patent No. 3,427,942 to  
5 Browning (hereinafter "Browning I") discloses a  
photographic apparatus and special film strip,  
exhibiting one useful property of the Johnson patent.  
The film strip, which has a transparent film base, has  
a series of fresnel lenses on half of the film  
10 surface, and has a photographic emulsion on the other  
half, on the reverse side of the film. Light from an  
object to be photographed passes through the film base  
and the fresnel lenses, is reflected by a prism, and  
then focused upon the emulsion, by the fresnel lenses.  
15 This apparatus, like that of the above-referenced  
British patent, deals in part with the problem of  
eccentric motion of the recording surface, in that  
there is no translational relative motion between the  
focusing lenses and the emulsion.

20 However, the apparatus of Browning I  
exhibits several problems avoided by applicant's  
apparatus. One is a defocusing effect associated with  
any wobbling motion of the moving film in a direction  
normal to the film surface. Such motion will change  
25 the distance from the film strip to the prism, and  
thus change the total optical path length from the  
fresnel lens to the film emulsion, by twice the  
amplitude of the wobble. Applicant's apparatus avoids  
such an effect, in that applicant's cylindrical lens  
30 array and photosensitive data surface are on opposite  
sides of one transparent disk, in fixed relationship  
to one another, so that there is no relative wobble  
motion between them.

35 The Browning I film strip is divided into  
one half bearing the strip of fresnel lenses, and the  
other half containing the film emulsion. By contrast  
applicant's geometry uses the entire disk area for the  
lens array and data surface. Moreover, applicant's

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1 simpler geometry avoids the necessity of an optical  
component (the prism) in the optical path between the  
lens array and the data surface.

And applicant's geometry is better adapted  
5 to high density storage of binary data, through the  
use of cylindrical rather than spherical lenses.

United States Patent No. 3,818,148 to  
Dickopp discloses an optical system for reading  
information stored in undulations upon a surface, in  
10 which parallel light rays pass through a transparent  
recording element bearing the surface undulations  
containing the information. The surface undulations  
have a lens-like action, producing convergence or  
divergence of the light rays, which are measured by an  
15 optical system after passing through an aperture  
located in the region of the average "focal point" of  
the convex undulations. Variations of the optical  
system output as the aperture is moved horizontally  
correspond to the pattern of the surface  
20 undulations. Such an apparatus would also exhibit a  
defocusing effect due to wobbling motion normal to the  
recording element, which motion changes the distance  
to the lens, thus affecting the optical system output.  
The patent points out that it is desirable to maintain  
25 a substantially constant distance between the  
recording element and the plane of maximum convergence  
of the light rays (col 2, line 59 - col 3, line 22),  
and acknowledges that performance of the system can be  
somewhat affected by wobble of the recording element,  
30 although claiming that wobble presents less of a  
problem than in prior art systems (col 7, lines 30-50;  
col 9, line 67 - col 10, line 13).

Applicant's apparatus avoids any such wobble  
effect, because the distance from the cylindrical lens  
35 array to the data surface is fixed, and because the  
output of the photodetector used by applicant is  
independent of the distance from the data surface to  
the photodetector, so long as one uses a photodetector

1 of sufficient width to intercept the entire beam  
diverging from the data surface. Applicant's  
apparatus, moreover, may be used both for recording  
and readout of data, whereas that of Pickopp is usable  
5 only for readout of data already stored in the surface  
undulations.

United States Patent No. 3,999,008 to  
Bouwhuis, et al. discloses an apparatus for reading  
data stored in tracks on a surface, in which a read  
10 beam is focused by an objective lens onto the data  
tracks, and reflected radiation is focused upon a  
radiation detector. The surface bearing the data  
tracks has a structure containing periodic vertical  
excursions which cause a calibrated wobble effect:  
15 periodic focusing and defocusing of the read beam as  
the data surface moves, corresponding to the known  
vertical excursions. An oscillating signal caused by  
these periodic vertical excursions allegedly may be  
used to correct for defocusing of the read beam, for  
20 example by moving the objective lens, which may be  
mounted in a loudspeaker coil moved by such signal.  
The approach of this patent is not to eliminate  
wobble, but rather to provide a means of correcting  
for it, by a calibrated built-in wobble. Applicant's  
25 apparatus offers the advantage of simply eliminating  
any wobble effect, making unnecessary the corrective  
measures of Bouwhuis.

United States Patent No. 2,923,781 to  
Gordon, et al. discloses an apparatus for motion  
30 picture sound recording, in which sound-modulated  
light is focused by an optical system involving two  
cylindrical lenses, onto a slit aperture inclined at  
an angle to a film grating. In one embodiment the  
film grating comprises parallel cylindrical lenticules  
35 above the light sensitive surface. However, in the  
geometry of this apparatus the light rays are not  
parallel but are converging when they reach the  
aperture and the lenticules. The performance of the

1 system could thus be affected by any wobble of the  
film in the direction normal to the film. In  
applicant's apparatus, the light rays are parallel  
when they reach the cylindrical lens array, so that  
5 the performance of the system is not affected by such  
wobbling motion.

United States Patent No. 4,020,278 to Carre,  
et al. discloses a data carrier apparatus in which the  
data is stored in the form of small depressions on a  
10 surface. Parallel light is focused upon the data  
carrying surface by an objective lens. Photodetectors  
on the opposite side of the data surface sense changes  
in light paths corresponding to the presence of the  
depressions representing the data. The apparatus is  
15 usable only for reading data already recorded in an  
embossed pattern of depressions, whereas applicant's  
apparatus may be used for recording data as well as  
for readout.

United States Patent No. 3,980,818 to  
20 Browning (hereinafter "Browning II") discloses a  
recorder and reproducer apparatus having one  
embodiment in which a laser beam passes through an  
array of tiny lenses before being focused by a  
microscope objective lens onto a record disk. But in  
25 this apparatus, the lens array is entirely separate  
from the record disk. The Browning II apparatus would  
thus be subject to the wobble effect discussed above,  
due to relative motion between the record disk and the  
lens array. As already noted, applicant's structure  
30 avoids any such effect, in that the applicant's lens  
array and data surface are on opposite sides of a  
single disk.

#### DISCLOSURE OF INVENTION

35 The invention is an optical apparatus for  
high density and high rate storage and read out of  
binary data, which is insensitive to eccentric or  
wobbling motion of the recording element. The  
invention comprises, in geometrical linear sequence: a



1 laser which produces a parallel beam of light of the  
correct diameter; a convex cylindrical lens; a  
transparent recording plate, having an array of  
parallel identical short focal length convex  
5 cylindrical lens surfaces (hereinafter sometimes  
termed "cylindrical lens array") on the first side  
thereof, and a data surface on the second side  
thereof; and a photosensor having a shutter, on the  
side of the recording plate opposite the laser. The  
10 data surface is a coating of a material which will  
change light transmitting characteristics upon  
exposure to focused laser light.

The thickness of the recording plate is  
equal to the focal length of the convex cylindrical  
15 lens surfaces, so that parallel light incident upon  
one of the cylindrical lens surfaces is focused to a  
line upon the data surface.

Since the cylindrical lens array cannot  
focus light in the direction of the axes of the  
20 cylindrical lens surfaces, the convex cylindrical lens  
is aligned with its axis perpendicular to the axes of  
the cylindrical lens surfaces, and is positioned at a  
distance from the data surface of the recording plate,  
such that its focal point lies on the data surface.

25 The beam width of the laser beam is slightly  
greater than the width of each of the converging lens  
surfaces.

The above-described lens geometry causes the  
laser beam to be focused to an approximately point  
30 image upon the data surface.

To record binary data the recording plate is  
moved longitudinally, in the axial direction of the  
cylindrical lens array, the laser is pulsed at high  
power for each 1 bit, with the photosensor shielded by  
the shutter, and the binary data is thus recorded as a  
35 series of point images lying upon a data line on the  
data surface, each such image being a point at which  
the light transmitting characteristics of the data

1 surface has been changed, and each data line being  
parallel to the axis of the cylindrical lens array.

In order to read out the binary data, the  
laser is switched to low power continuous operation,  
5 the shutter is opened, and the recording plate is  
again moved axially. The laser beam and photosensor  
in this mode read the data previously recorded upon a  
data line, which is reflected in time variations of  
the photosensor output.

10 The apparatus includes a means for rotating  
the optical system about the axis of a given lens of  
the cylindrical lens array. When the optical system  
is rotated slightly about such axis, the position of  
the data line will be shifted slightly upon the data  
15 surface. In this manner a set of numerous data lines  
(of the order of 200) may be written beneath each lens  
of the cylindrical lens array. The apparatus may be  
moved from one set of data lines to another by mere  
translational motion of the optical system or the  
20 recording plate.

Because the light rays reaching the  
cylindrical lens array in each cross sectional plane  
of the lens array are parallel, the output of the  
apparatus is relatively insensitive to wobbling motion  
25 of the recording plate, in a direction perpendicular  
to the plate, because such motion has only a slight  
defocusing effect (by changing the distance to the  
first cylindrical lens).

The tracking performance is also insensitive  
30 to eccentric motion of the recording plate, in the  
direction along the plate surface and perpendicular to  
the axis of the cylindrical lens array, since in such  
motion each data line being recorded or read moves  
together with the particular converging lens surface  
35 of the cylindrical lens array which focuses the laser  
beam upon that data line.

The tracking performance is also insensitive  
to the speed of the recording plate because tracking

1 is inherent in the optical design and occurs at the  
speed of light. Therefore, much greater data rates  
can be achieved than in previous systems which use  
5 electro-mechanical tracking mechanisms of limited  
following capability.

The invention is most conveniently used in  
an embodiment using a circular recording disk in lieu  
of a linear recording plate, since the recording disk  
10 is usable with a turntable drive mechanism. In this  
embodiment, the cylindrical lens array is formed of  
circular rings on one surface of the recording disk,  
the principles of the invention being generally the  
same as described above.

15 It is an object of the present invention to  
provide an optical apparatus of comparatively simple  
design suitable for high density storage of binary  
data.

20 It is another object of the invention to  
provide such an apparatus which also allows readout of  
the stored data.

25 It is another object of the invention to  
provide such an apparatus which is not sensitive to  
eccentric or wobbling motion of the recording element,  
so as to eliminate any need for precise mechanical  
drives or tracking equipment.

It is another object of the invention to  
provide an apparatus which can operate at higher write  
and read data rates than previously possible.

#### 30 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of one  
embodiment of the invention.

35 Figure 2 is a cross-sectional view of a  
portion of the invention, illustrating the effect of  
eccentric (horizontal) motion of the recording plate.

Figure 3 is a cross-sectional view  
illustrating the selection of different data lines by  
relative rotation of the recording plate and the

1 optical system.

Figure 4 is a perspective view of the embodiment employing a recording disk, illustrating a means for rotating the optical housing with respect to the recording disk.

BEST MODE OF CARRYING OUT THE  
INVENTION AND INDUSTRIAL APPLICABILITY

Referring now to the drawings, wherein like reference numbers denote like or corresponding parts, the apparatus employs a recording plate 2, which is a flat transparent plate having on its upper surface a cylindrical lens array 4 of convex cylindrical lens surfaces 6, with parallel axes, each having a focal length  $f_1$  equal to the thickness of the recording plate 2. In one convenient embodiment, the recording plate 2 is in the form of a circular recording disk 8, which can be rotated about the disk axis by a turntable-type drive motor 10, as illustrated in Fig. 4. In this embodiment each of the cylindrical lens surfaces 6 lies on a circle concentric with recording disk 8. The lower surface of recording plate 2 is covered with a data surface 12, which is a coating of a material which will change its light transmitting characteristics upon exposure to focused laser light. Such a surface may be formed, for example, of a 5-micron-thick coating of tellurium, which will be ablated by the focused laser light; or of photosensitive material, which can form a photographic image; or of a ferromagnetic material such as iron oxide which will cause rotation of the direction of polarization of the laser light.

Parallel light incident upon the upper surface of recording plate 2 will be brought to focus by cylindrical lens array 4 in one or more parallel data lines 14, which are parallel to the axes of cylindrical lens surfaces 6. The precise positions of data lines 14 will, of course, depend upon the angle of incidence of the parallel light rays, as further

1 discuss d below.

2 Located above recording plate 2 is a laser  
16, capable of either high power pulse modulated  
operation, or low power continuous operation, which is  
5 simply one convenient means for generating a beam of  
essentially parallel light rays, the laser 16 being  
oriented with its beam 18 directed onto the upper  
surface of recording plate 2, in a direction  
perpendicular to the axes of cylindrical lens surface  
10 6. Laser 16 is so operated as to produce a beam  
having a width slightly greater than the width of the  
individual cylindrical lens surfaces 6 of cylindrical  
lens array 4.

15 Since each of the cylindrical lens surfaces  
6 of cylindrical lens array 4 would by itself focus  
beam 18 to form a line rather than a point image, a  
convex cylindrical lens 20 is located between laser 16  
and recording plate 2, so positioned as to intercept  
beam 18, and is aligned with its axis perpendicular  
20 both to beam 18 and to the orientation of the axis of  
the cylindrical lens surface 6 receiving beam 18.  
Cylindrical lens 20 is so positioned that its focal  
point lies on data surface 12. The focal length  $f_2$  of  
cylindrical lens 20 is, of course, greater than the  
25 thickness  $f_1$  of recording plate 2. Cylindrical lens  
20 focuses the light of beam 18 in the direction of  
data line 14, which cylindrical lens surface 6 cannot.  
Thus the combined effect of cylindrical lens 20 and  
cylindrical lens surface 6 is to produce an  
30 approximately point image 22 upon data surface 12.

35 In the embodiment in which recording plate 2  
is in the form of a recording disk 8, laser 16 is  
oriented such that beam 18 is always perpendicular to  
the axis of cylindrical lens surface 6 at the point of  
incidence of beam 18 onto recording disk 8. This is  
accomplished if laser 16 is so oriented that the  
direction of beam 18 lies entirely in the plane  
defined by the axis of recording disk 8 and the

1 particular radius of recording disk 8 passing through  
image 22. In this embodiment, cylindrical lens 20 is  
oriented with its axis perpendicular to beam 18 and  
lying in the same plane. Thus cylindrical lens 20 is  
5 always perpendicular to the axis of cylindrical lens  
surface 6 at the portion of cylindrical lens surface 6  
struck by beam 18, so that an image 22 remains an  
approximately point image as recording disk 8 is  
rotated.

10 Located below recording plate 2 is a  
photosensor 24, having an aperture slightly larger  
than required to intercept all of the light of beam 18  
diverging from image 22. It is readily seen that such  
aperture must be greater than  $(DW/f_1)$ , where D is the  
15 distance from data surface 12 to photosensor 24, W is  
the maximum width of beam 18 at the point of incidence  
of beam 18 with recording plate 2, and  $f_1$  is the focal  
length of cylindrical lens surfaces 6. Photosensor 24  
may be any type of device which produces an electrical  
20 output dependent only upon the total intensity of  
light striking the surface of photosensor 24, such as,  
for example, a photocell having uniform light  
measuring sensitivity across its light sensitive  
surface. Photosensor 24 is equipped with a shutter 26  
25 which may be used to block the light of beam 18 from  
reaching the light sensitive surface of photocell 24  
during the write operation.

In another embodiment of the invention laser  
16 and photosensor 24 may both be located on the same  
30 side of recording plate 2 or recording disk 8. This  
may be accomplished by simply covering data surface 12  
with a mirror coating of highly reflective material,  
thus forming a mirror surface which reflects beam 18  
back toward laser 16, and by also employing a beam  
35 splitter mirror (such as a dichroic beam splitting  
mirror) located above recording plate 2 or recording  
disk 8, inclined at an angle (typically about  $45^\circ$ ) to  
beam 18, to divert a portion of the reflected beam to

1 photosensor 24, which is located above and to one side  
of recording plate 2, in the optical path of light  
rays reflected both from the mirror surface and the  
beam splitter mirror.

5 Laser 16, cylindrical lens 20 and  
photosensor 24 are fixed in position with respect to  
one another, each being connected to an optical  
housing 28, either directly or by means of connecting  
10 rods or similar structures. Recording plate 2 is not  
attached directly to optical housing 28, so that  
relative translational and rotational motion is  
allowed, as further discussed below. All of these  
components are connected directly or indirectly to a  
15 base 30. Optical housing 28 and recording plate 2 may  
be connected to base 30 through support posts attached  
to base 30 in a manner well known in the art. In the  
embodiment of the invention using recording disk 8,  
said disk is supported by a spindle connected to drive  
20 motor 10, which is connected to base 30, as indicated  
in Figure 4. As further discussed below, relative  
translational motion of optical housing 28 and  
recording plate 2 may be accomplished by use of linear  
electric drive motors (not shown) interposed between  
25 recording plate 2 and its support post, or between  
optical housing 28 and its support post. In the  
recording disk embodiment such relative translational  
motion may be accomplished by a linear electric drive  
motor interposed between base 30 and drive 10.

30 When it is desired to record binary data,  
shutter 26 is closed and recording plate 2 is moved  
longitudinally - i.e., in the direction of the axes of  
cylindrical lens surfaces 6. Laser 16 is pulsed at  
high power for each 1 bit to be recorded, forming a  
point image 22 on data surface 12, at the  
35 corresponding position on data line 14, thereby  
changing the light transmitting characteristics of  
data surface 12 at the location of image 22. The 0

1 bits are represented by the absence of such images at  
other positions on data line 14, at which positions  
the light transmitting characteristics of data surface  
12 are unchanged.

5 Applicant's apparatus is not limited in its  
application, to the recording and readout of binary  
data. Analog recording may also be accomplished: the  
focused beam from laser 16 may be applied with  
continuously varying intensity, so as to produce  
10 continuous variations (along each of the data lines  
14) of the light transmitting characteristics of data  
surface 12.

To read data previously recorded, laser 16  
is switched to low power continuous operation, shutter  
15 26 is opened, and recording plate 2 is again moved  
longitudinally. Beam 18 and photosensor 24 in this  
mode together read the data previously recorded on  
data line 14, which data is reflected by time  
variations in the electrical output of photosensor 24.

20 As illustrated in Figs. 2 and 3, the light  
rays of beam 18 in each cross sectional plane  
(perpendicular to the axis of cylindrical lens surface  
6) are parallel when striking the upper surface of  
recording plate 2. Moreover, photosensor 24 gathers  
25 all of the light diverging from image 22. Thus, the  
performance of the apparatus is quite insensitive to  
any vertical wobbling motion of recording plate 2.

Fig. 2 illustrates the effect of eccentric  
(horizontal) motion of recording plate 2, with respect  
30 to beam 18. As recording plate 2 moves to the shifted  
position indicated by dashed lines, image 22 formed by  
a particular cylindrical lens surface 32, moves with  
cylindrical lens surface 32, so that image 22  
remains at the same point on shifted data  
35 surface 12. Thus applicant's apparatus does not  
require the use of a tracking servomechanism which  
monitors the eccentric motion of recording plate 2 so  
as to maintain the focus of image 22 on a given data



1 line. Such a mechanism could of course be employed,  
however, in particular applications, to achieve even  
greater reliability, data density and data rate.

5 Beam 18 has a width slightly greater than  
the width of each cylindrical lens surface 32, and  
beam 18 is of sufficient width that the entire width  
of lens surface 32 is covered by beam 18 in both the  
original and shifted positions, for the eccentric  
motions to be encountered. Thus, there is no  
10 appreciable diminution of the total light flux  
reaching image 22 and therefore no appreciable effect  
on the output of photosensor 24 as a result of the  
eccentric motion, during the data reading operation.  
However, since beam 18 covers slightly more than the  
15 entire width of a cylindrical lens surface 32, a  
portion of beam 18 will always fall upon the adjacent  
cylindrical lens surface 34. A portion of beam 18  
will thus be diverted to form a secondary image 36 on  
the focal line of adjacent cylindrical lens surface  
20 34, with no corresponding diminution of the total  
light flux reaching image 22, provided the width of  
beam 18 is sufficient to cover the entire width of  
lens surface 32 in both the original and shifted  
positions. The diverted light will however miss the  
25 photosensor and be of no consequence during the data  
reading operation. During the data writing operation  
the diverted light will not produce an erroneous data  
record at the position of secondary image 36. Only a  
very small portion of the light will be diverted,  
30 since the width of beam 18 only slightly exceeds the  
width of lens surface 32, and since the distribution  
of the light intensity drops off rapidly near the  
edges of beam 18.

35 As already noted, the precise position of the  
date line 14 formed by a given cylindrical lens  
surface 6 will depend upon the angle of incidence of  
the beam 18 at the upper surface of recording plate 2.  
By rotation of optical housing 28 with resp ct to

1 recording plate 2, about the axis of one of the  
cylindrical lens surfaces 32, as illustrated in Fig.  
3, numerous data lines may be formed beneath one of  
the cylindrical lens surfaces 6. In this manner, and  
5 because each of the images 22 is approximately a point  
image, a very high area density of binary data storage  
may be achieved. In the case of the recording disk  
embodiment of the invention, the relative rotation  
will be about the axis of the particular cylindrical  
10 lens surface 32 at the point of contact of beam 18--  
that is, about a line tangent to cylindrical lens  
surface 32 at said point of contact.

Of course only relative rotation of optical  
housing 28 and recording plate 2 (or recording disk 8)  
15 is required. Such relative rotation may be achieved  
by holding either of these components fixed and  
rotating the other. Although the specific means  
disclosed below is one for rotating optical housing  
28, those familiar with the art will appreciate that  
20 the same means could instead be used to rotate  
recording plate 2 (or to rotate the plane of recording  
disk 8), by being attached to recording plate 2 (or  
recording disk 8) and base 30, without departing from  
the spirit or substance of the invention. Of course  
25 the same type of rotation means described below could  
instead be attached to recording plate 2 (or recording  
disk 8) and optical housing 28, in order to achieve  
the desired relative rotation. Since optical housing  
28 and recording plate 2 (or recording disk 8) are  
30 each connected directly or indirectly to base 30, the  
rotation means is in either of these cases connected  
(directly or indirectly) to optical housing 28, to  
recording plate 2 (or recording disk 8), and to base  
30.

35 Fig. 3 shows a cross section of five of the  
cylindrical lens surfaces 6 of the recording plate 2.  
The optical write-read system is shown centered over  
the middle cylindrical lens surface. Laser 16,

1 cylindrical lens 20 and photosensor 24 are together  
rotated with respect to recording plate 2, by rotation  
of optical housing 28, over an angle A in order to  
scan over the recorded data lines 14 from data line 38  
5 to data line 40. The axis of rotation is the axis of  
curvature of the particular cylindrical lens surface 6  
being used. Intermediate angles will of course select  
intermediate data lines 14. In this manner any data  
line 14 may be selected by simply rotating the optical  
10 system by an appropriate angle. Once this angle is  
set and locked, there is no further need to track the  
data lines.

The angular accuracy required to scan among  
the data lines 14 is determined from the geometry of  
15 Fig. 3. The total angle scanned to cover, for  
example, 200 lines recorded between data line 38 and  
data line 40 is determined by distance from the axis  
of rotation to data surface 12. This total angle is  
indicated by the angle A in the figure.

20 The value of the angle A is dependent on the  
value of many design parameters. In the preferred  
embodiment the width of the cylindrical lens surfaces  
6 was chosen to be 0.1016 centimeters (cm). The  
thickness  $f_1$  of the recording disk 8 is 0.3175 cm.  
25 The material of the disk is methyl methacrylate.  
These choices result in the proportions shown in Fig.  
3 and the angle A is about 27 degrees. The angle  
between data lines 14 is then  $27/200$  degrees or 0.135  
degrees. This is a very reasonable angle that can be  
30 accurately set by ordinary mechanical means.

Fig. 4 shows a specific means for rotating  
optical housing 28, which is shown as applied to the  
recording disk embodiment of the invention. A sector  
gear 42 is securely attached to optical housing 28.  
35 The radius of sector gear 42 is 7.4371 cm. A worm  
gear 44 is meshed with sector gear 42, and has a pitch  
of 0.0706 cm or 14.173 turns per cm. With this  
combination a  $1/4$  turn of worm gear 44 will move th

1 optical system through exactly 0.135 degrees which  
corresponds to on data line spacing. Worm gear 44 is  
turned by means of a stepping motor 46 and a second  
worm gear 48. The gear system of second worm gear 48  
5 has a reduction ratio of 20. The stepping motor 46  
rotates 1/4 turn for each pulse received from a  
stepping motor control unit 50. Therefore each pulse  
scans the optical system over 1/20 of a data line  
separation. Other combinations of turns per pulse and  
10 gear ratios can be used with equal results.

When a new recording disk 8 is inserted into  
the system, alignment must be achieved on at least one  
data line 14. The adjacent data lines 14 may be  
accessed by sending 20 pulses of the proper polarity  
15 to stepping motor 46. Initial alignment is achieved  
by means of a photosensor 24 in the form of a  
photocell 52 which is split into two closely spaced  
sections (hereinafter "bi-cell"). When the system is  
aligned so that a given data line 14 is perceived by  
20 both halves of the bi-cell equally, then the optical  
system is centered on that data line. Small mis-  
alignments of greater than 5% of the track spacing  
will cause a single correction pulse to be sent to  
stepping motor 46, by means of a bi-cell comparator  
25 54, which instructs the stepping motor control unit 50  
to send a pulse to the stepping motor 46. The single  
pulse process is repeated until the optical system is  
aligned to the center of the data lines 14.

Bi-cell comparator 54 is a two input  
30 amplifier having high output when the first input is  
higher than the second; the output is low if the  
reverse is true. Stepping motor control unit 50 is a  
microprocessor and pulse generator which sends phased  
pulses to stepping motor 46, with such phase as to  
35 rotate stepping motor 46 clockwise if the input to  
stepping motor control unit 50 is high, and  
counterclockwise if said input is low.

The track to track selection may be made by.

1 a computer logic unit which sends 20 pulses to the  
stepping motor 46 to move from data line to data line.  
The signal from both halves of the bi-cell is summed  
and sent to the computer as the data signal from the  
5 recording disk 8.

Those familiar with the art will appreciate  
that the above-described means for rotation of optical  
housing 28 simply constitutes one particular means for  
rotating optical housing 28; and for reliably  
10 selecting specific angular orientations of optical  
housing 28. Other equivalent means may, of course, be  
used without departing from the substance of the  
invention.

Of course it will be necessary not only to  
15 record or read the data lines 14 beneath a given one  
of the cylindrical lens surfaces 6, but also to switch  
to the separate sets of data lines corresponding to  
other cylindrical lens surfaces. This may readily be  
accomplished by simple relative translational motion  
20 of optical housing 28 and recording disk 8 (or  
recording plate 2 in the other embodiment) in a  
direction perpendicular to the axis of the particular  
cylindrical lens surface currently receiving the beam  
18 (i.e., in the direction of the radius of recording  
25 disk 8 through image 22, in the embodiment of Fig. 4).  
Such relative translation may be accomplished by means  
of a linear electric drive motor (not shown) connected  
to base 30 (or to optical housing 28) and to recording  
disk 8 (or recording plate 2), or by such a motor  
30 connected to optical housing 28 and base 30.

In the recording disk embodiment of Fig. 4  
the drive motor 10, a turntable-type electric motor,  
provides the means for moving recording disk 8 in the  
axial direction of the cylindrical lens surface 6 at  
35 the position of image 22, by rotating recording disk  
8, so as to allow recording or reading along a given  
data line 14, for a fixed orientation of optical  
housing 28. In the other embodiment of the invention,

1 in which recording plate 2 has a rectilinear lens  
array 4 of cylindrical lens surfaces 6 having parallel  
straight line axes, the corresponding motion is simple  
relative translational motion of optical housing 28  
5 and recording plate 2, in a direction parallel to the  
axes of cylindrical lens surfaces 6, which may be  
accomplished by means of a linear electric drive motor  
(not shown) connected to base 30 (or to optical  
housing 28) and to recording plate 2, or by such a  
10 motor connected to optical housing 28 and base 30.

Since optical housing 28 and recording plate  
2 (or recording disk 8) are each connected directly or  
indirectly to base 30, the above-described means for  
achieving relative translational motion of optical  
15 housing 28 and recording plate 2 (or recording disk 8)  
are, in each of the above-described configurations,  
connected (directly or indirectly) to optical housing  
28, to recording plate 2 (or recording disk 8) and to  
base 30.

20 In the preferred embodiment the means which  
rotate and translate the optical housing 28 are  
attached not only to the optical housing 28 but also  
to a base 30 of the entire apparatus, to which base  
are also attached the above-described means for  
25 rotating the recording disk 8, or for translating the  
recording plate 2. Base 30 is a plate secured to the  
floor, and simply constitutes one possible support  
means for providing a fixed support. Any other  
suitable fixed frame or other suitable fixed structure  
30 could of course be used instead.

Those familiar with the art will appreciate  
that the invention may be employed in specific  
configurations and embodiments other than those  
specifically disclosed herein, without departing from  
35 the spirit and substance thereof. The essential  
characteristics of the invention are defined by the  
following claims.

1 I claim:

1. - Optical data storage and readout apparatus.  
comprising:

5 (a) a support means, for providing a fixed  
support;

(b) an optical housing connected to said  
support means;

10 (c) a recording plate connected to said  
support means, which recording plate is a flat  
transparent plate having on one surface thereof a  
cylindrical lens array comprising a plurality of  
convex cylindrical lens surfaces with parallel axes,  
each having a focal length equal to the thickness of  
said plate; and having on the other surface thereof a  
15 data surface comprising a coating of a material which  
will change its light transmitting characteristics  
upon exposure to high intensity light;

20 (d) a means, connected to said recording  
plate, to said optical housing, and to said support  
means, for producing relative translational motion of  
said recording plate with respect to said optical  
housing, said motion being parallel to said axes of  
said cylindrical lens surfaces;

25 (e) a rotation means, connected to said  
recording plate, to said optical housing, and to said  
support means, for producing relative rotational  
motion of said optical housing with respect to said  
recording plate, said rotational motion being about an  
axis of one of said cylindrical lens surfaces, and for  
30 selecting and reproducing specific angular  
orientations of said relative rotational motion;

(f) a means, connected to said recording  
plate, to said optical housing and to said support  
means, for producing relative translational motion of  
35 said optical housing with respect to said recording  
plate, said motion being in a direction perpendicular  
to said axes of said cylindrical lens surfaces, and  
parallel to the surface of said recording plate;

1 (g) a light means, connected to said optical  
housing, located on the side of said recording plate  
bearing said cylindrical lens array, for generating a  
beam of initially parallel light rays directed onto  
5 said cylindrical lens array in a direction  
perpendicular to said axes of said cylindrical lens  
surfaces;

(h) a convex cylindrical lens, having a  
focal length greater than the thickness of said  
10 recording plate, connected to said optical housing,  
located between said light means and said recording  
plate, at a distance from said data surface of said  
recording plate such that the focal point of said  
cylindrical lens lies on said data surface, so  
15 positioned as to intercept said beam of parallel light  
rays, and aligned essentially perpendicular to said  
axes of said cylindrical lens surfaces;

(i) a photosensor means for producing an  
electrical signal of amplitude dependent upon the  
20 intensity of light incident upon the surface of said  
means, without regard for the distribution of such  
light upon such surface, said means being connected to  
said optical housing, said means being located in the  
optical path of said light rays after said light rays  
25 have passed through said data surface, said  
photosensor means having a shutter and having an  
aperture not less than  $(DW/f_1)$ , where D is the  
distance along the optical path of said light rays  
from said data surface to said photosensor means, W is  
30 the maximum width of said beam at the point of  
incidence of said beam with said recording plate, and  
 $f_1$  is the focal length of said cylindrical lens  
surfaces; said photosensor means being positioned  
essentially on the axis of said beam.

35 2. Optical data storage and readout apparatus,  
comprising:

(a) a support means, for providing a fixed  
support;



1           (b) an optical housing connected to said support means;

          (c) a recording disk rotatably connected to said support means, said recording disk being a flat  
5 transparent disk having on one surface thereof a cylindrical lens array comprising a plurality of cylindrical lens surfaces with axes forming circles concentric with said disk, each of said cylindrical lens surfaces having a focal length equal to the  
10 thickness of said disk; and having on the other side thereof a data surface comprising a coating of a material which will change its light transmitting characteristics upon exposure to high intensity light;

          (d) a first rotation means, connected to  
15 said recording disk and to said support means, for rotating said recording disk about the axis of said recording disk;

          (e) a light means connected to said optical housing, located on the side of said recording disk bearing said cylindrical lens array, for generating a  
20 beam of initially parallel light rays directed onto said cylindrical lens array in a direction perpendicular to said axes of said cylindrical lens surfaces at the point of contact of said beam with said recording disk;

25           (f) a second rotation means, connected to said recording disk, to said optical housing, and to said support means, for producing relative rotational motion of said optical housing with respect to said recording disk, said rotational motion being about a  
30 line tangent to the cylindrical lens surface of said recording disk intersected by said beam at the point of intersection of said cylindrical lens surface and said beam, and for selecting and reproducing specific angular orientations of said relative rotational  
35 motion;

          (g) a means, connected to said recording disk, to said optical housing, and to said support

1 means, for producing relative translational motion of  
said optical housing with respect to said recording  
disk, said motion being in the direction of the  
radius of said recording disk intersecting said beam;

5 (h) a convex cylindrical lens, having a  
focal length greater than the thickness of said  
recording disk, connected to said optical housing,  
located between said light means and said recording  
disk, at a distance from said data surface of said  
10 recording disk such that the focal point of said  
cylindrical lens lies on said data surface, so  
positioned as to intercept said beam of parallel light  
rays, and aligned essentially parallel to the radius  
of said recording disk intersecting said beam;

15 (i) a photosensor means for producing an  
electrical signal of amplitude dependent upon the  
intensity of light incident upon the surface of said  
means, without regard for the distribution of such  
light upon such surface, said means being connected to  
20 said optical housing, said means being located in the  
portion of the optical path of said light rays after  
said light rays have passed through said data surface,  
said photosensor means having a shutter and having an  
aperture not less than  $(DW/f_1)$ , where D is the  
25 distance from said data surface to said photosensor  
means, W is the maximum width of said beam at the  
point of incidence of said beam with said recording  
disk, and  $f_1$  is the focal length of said cylindrical  
lens surfaces; said photosensor means being positioned  
30 essentially on the axis of said beam.

3. The apparatus of claim 1 wherein said  
photosensor means is located on the side of said  
recording plate opposite the side on which said light  
means is located.

35 4. The apparatus of claim 2 wherein said  
photosensor means is located on the side of said  
recording disk opposite the side on which said light  
means is located.

1           5.     The apparatus of claim 1, further  
comprising a mirror coating of reflective material  
covering said data surface, and a beam splitter mirror  
located above said recording plate in the portion of  
5     the optical path of said light rays after said light  
rays have passed through said data surface and have  
been reflected from said mirror coating, wherein said  
photosensor means is located above said recording  
plate in the portion of said optical path after said  
10    light rays have been reflected from both said mirror  
coating and said beam splitter mirror.

          6.     The apparatus of claim 2, further  
comprising a mirror coating of reflective material  
covering said data surface, and a beam splitter mirror  
15    located above said recording disk in the portion of  
the optical path of said light rays after said light  
rays have passed through said data surface and have  
been reflected from said mirror coating, wherein said  
photosensor means is located above said recording disk  
20    in the portion of said optical path after said light  
rays have been reflected from both said mirror coating  
and said beam splitter mirror.

          7.     The apparatus of any of the preceding  
claims, wherein said light means is a laser.

25           8.     The apparatus of any of claims 1 through  
6, wherein said photosensor means is a photo cell  
having uniform light gathering sensitivity across the  
photosensitive surface of said photo cell.

          9.     The apparatus of claims 2, 4 or 6,  
30    wherein the thickness of said recording disk is  
approximately 0.3175 cm and the width of said  
cylindrical lens surfaces is approximately 0.1016 cm.

          10.    The apparatus of claims 1, 3 or 5,  
35    wherein the thickness of said recording plate is  
approximately 0.3175 cm and the width of said  
cylindrical lens surfaces is approximately 0.1016 cm.

1           11. The apparatus of claims 1, 3, or 5  
wherein said recording plate is composed of methyl  
methacrylate.

5           12. The apparatus of claims 2, 4, or 6,  
wherein said recording disk is composed of methyl  
methacrylate.

10           13. The apparatus of any of claims 1  
through 6, wherein said beam of parallel light  
generated by said light means has a diameter slightly  
greater than the width of each of said cylindrical  
lens surfaces of said cylindrical lens array.

15           14. The apparatus of any of claims 1  
through 6, wherein said data surface comprises a  
coating of tellurium approximately 5 microns thick.

20           15. The apparatus of any of claims 1  
through 6, wherein said data surface comprises a  
coating of photosensitive material which is capable of  
forming a photographic image upon exposure to focused  
laser light.

25           16. The apparatus of any of claims 1  
through 6, wherein said data surface comprises a  
coating of a ferromagnetic material.

30

35

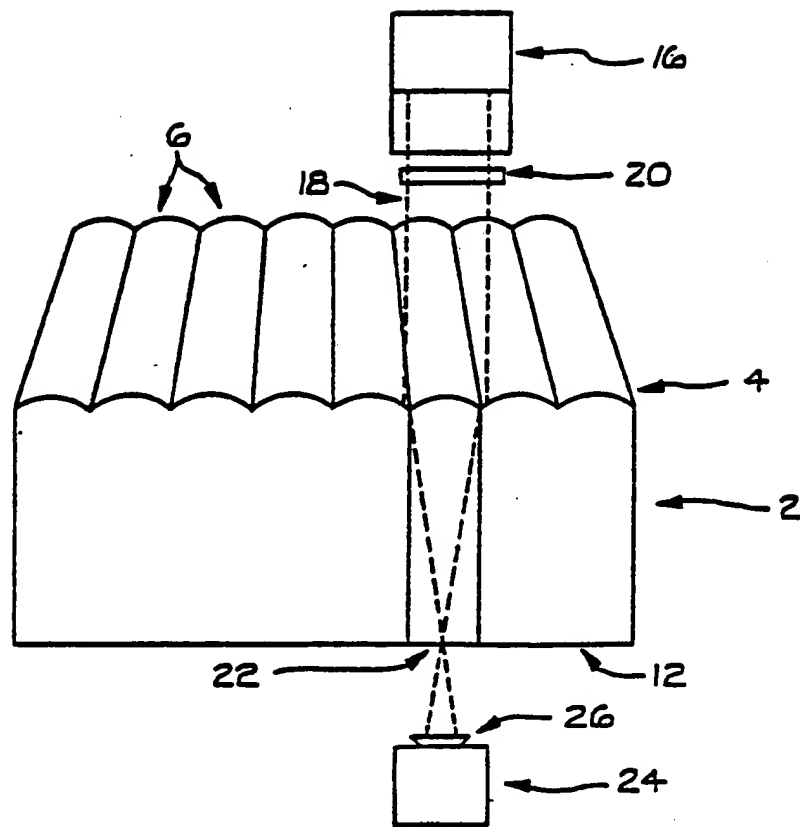


FIG 1

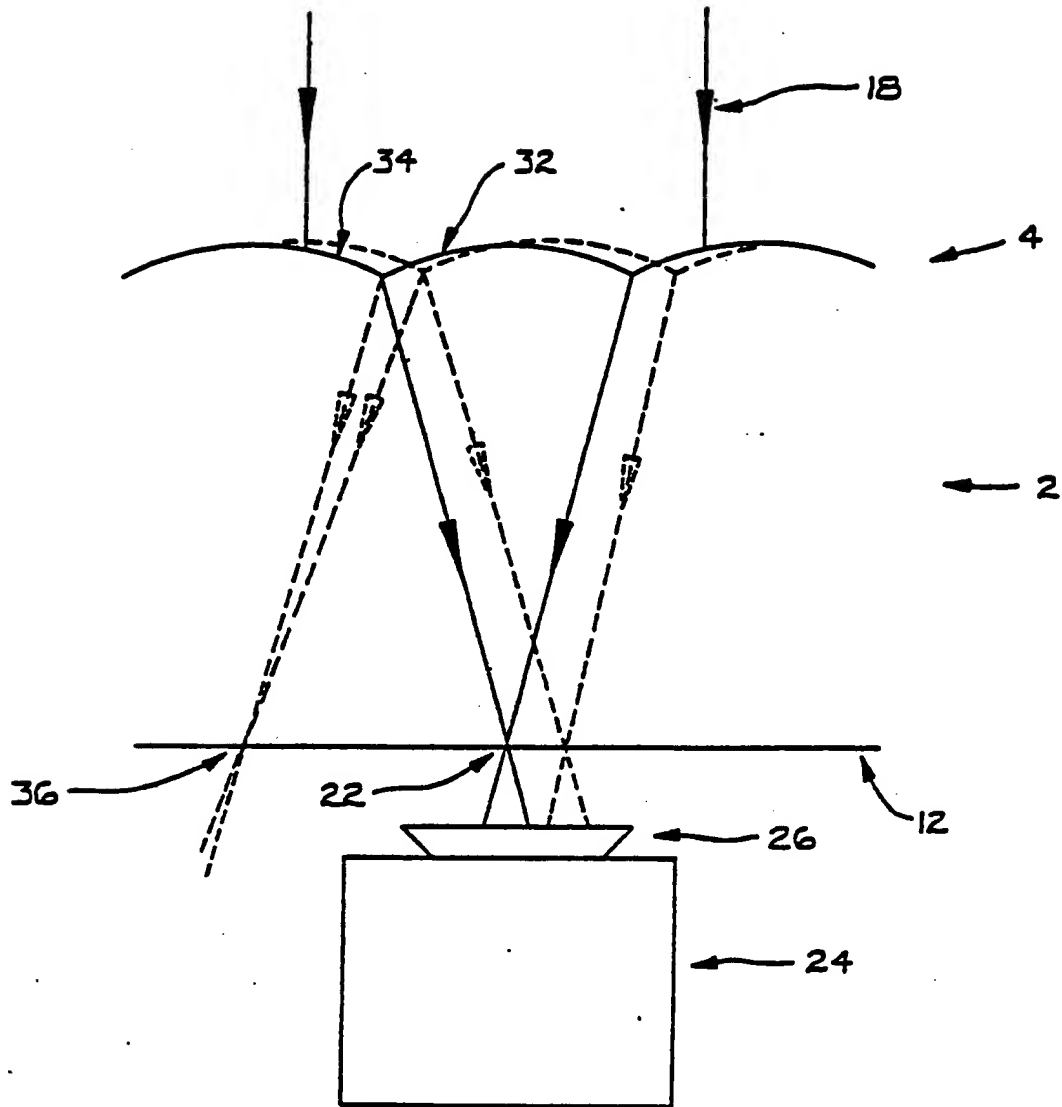


FIG 2



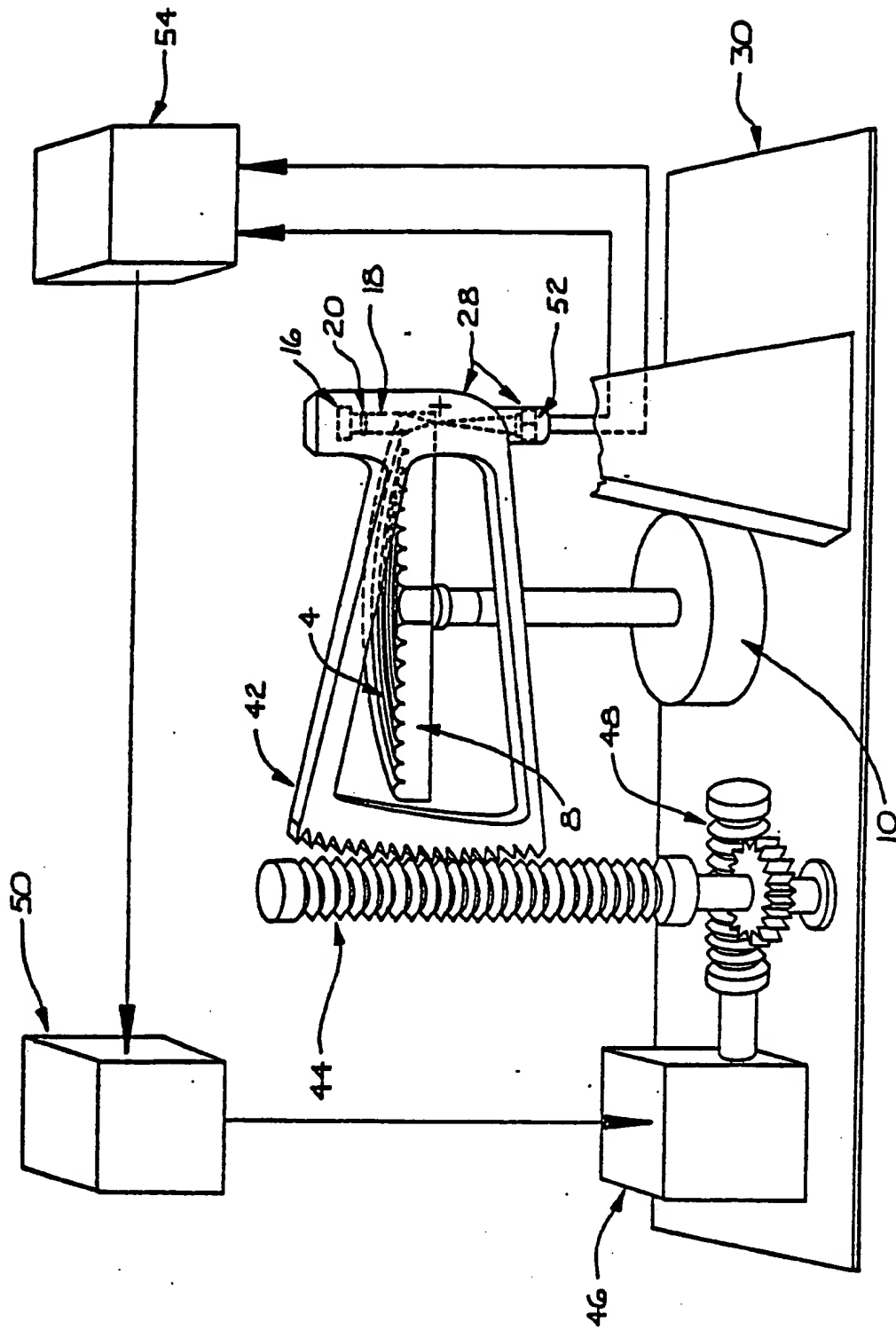


FIG 4



# INTERNATIONAL SEARCH REPORT

International Application No PCT/US85/00006

<b>I. CLASSIFICATION &amp; SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>3</sup> According to International Patent Classification (IPC) or to both National Classification and IPC INT. CL. <b>3</b> G 11B 7/12 U.S. CL.      369/112						
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>4</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%; text-align: left; border-bottom: 1px solid black;">Classification System</th> <th style="text-align: left; border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="border-right: 1px solid black; padding: 5px;">U.S.</td> <td style="padding: 5px;">369/111, 112, 117, 118, 283, 284, 286, 275; 365/120, 127; 346/76L, 135.1, 137</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>5</sup></div>			Classification System	Classification Symbols	U.S.	369/111, 112, 117, 118, 283, 284, 286, 275; 365/120, 127; 346/76L, 135.1, 137
Classification System	Classification Symbols					
U.S.	369/111, 112, 117, 118, 283, 284, 286, 275; 365/120, 127; 346/76L, 135.1, 137					
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>						
Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>				
Y	US, A, 2,092,892, (Runge), 14 September 1937	1-13				
Y	US, A, 1,956,626, (Robbins), 01 May 1934	1-13				
Y	US, A, 2,077,518, (Eggert), 20 April 1937	1-13				
Y	US, A, 3,944,727, (Elliott), 16 March 1976	1-13				
Y	US, A, 3,818,148, (Dickopp), 18 June 1974	1-13				
A	US, A, 4,020,278, (Carre), 26 April 1977	1-13				
A	US, A, 2,923,781, (Gordon), 02 February 1960	1-13				
A	US, A, 3,427,942, (Browning), 18 February 1969	1-13				
A	US, A, 3,999,008, (Bouwhuis), 21 December 1976	1-13				
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>13</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </div> </div>						
<b>IV. CERTIFICATION</b>						
Date of the Actual Completion of the International Search <sup>1</sup>  <b>27 February 1995</b>	Date of Mailing of this International Search Report <sup>2</sup>  <div style="text-align: center; font-size: 1.2em; font-weight: bold;">15 MAR 1985</div>					
International Searching Authority <sup>1</sup>  <b>ISA/US</b>	Signature of Authorized Officer <sup>20</sup> <div style="text-align: center;"> <b>Alan Faber</b> </div>					

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>10</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers \_\_\_\_\_, because they relate to subject matter <sup>12</sup> not required to be searched by this Authority, namely:

2. ☐ Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out <sup>13</sup>, specifically:

VI. ☒ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>11</sup>

This international Searching Authority found multiple inventions in this international application as follows:

Invention I : Claims 1-13

Invention II : Claim 14

Invention III: Claim 15

Invention IV : Claim 16

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.